

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representation of  
The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>C12N 5/10, C07K 16/28</b>		A1	(11) International Publication Number: <b>WO 97/37005</b> (43) International Publication Date: <b>9 October 1997 (09.10.97)</b>									
(21) International Application Number: <b>PCT/US97/05597</b>		(81) Designated States: AU, CA, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).										
(22) International Filing Date: <b>2 April 1997 (02.04.97)</b>												
(30) Priority Data: <table><tr><td>08/627,684</td><td>2 April 1996 (02.04.96)</td><td>US</td></tr><tr><td>08/663,616</td><td>14 June 1996 (14.06.96)</td><td>US</td></tr><tr><td>08/673,682</td><td>25 June 1996 (25.06.96)</td><td>US</td></tr></table>		08/627,684	2 April 1996 (02.04.96)	US	08/663,616	14 June 1996 (14.06.96)	US	08/673,682	25 June 1996 (25.06.96)	US	<b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
08/627,684	2 April 1996 (02.04.96)	US										
08/663,616	14 June 1996 (14.06.96)	US										
08/673,682	25 June 1996 (25.06.96)	US										
(71) Applicant: <b>PROGENICS PHARMACEUTICALS, INC.</b> (US/US); Old Saw Mill River Road, Tarrytown, NY 10591 (US).												
(72) Inventors: <b>ALLAWAY, Graham, P.; 1778 Horton Avenue, Mohegan Lake, NY 10547 (US). LITWIN, Virginia, M.; 101 Lea Lane #3, Syracuse, NY 13206 (US). MADDON, Paul, J.; Apartment 25C, 60 Haven Avenue, New York, NY 10032 (US). OLSON, William, C.; 37 Hamilton Place #1C, Tarrytown, NY 10591 (US).</b>												
(74) Agent: <b>WHITE, John, P.; Cooper &amp; Dunham L.L.P., 1185 Avenue of the Americas, New York, NY 10036 (US).</b>												

(54) Title: **METHOD FOR PREVENTING HIV-1 INFECTION OF CD4<sup>+</sup> CELLS**

(57) Abstract

This invention provides methods for inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells which comprise contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited. This invention also provides methods for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprise contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited, thereby inhibiting the HIV-1 infection. This invention provides non-chemokine agents capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells. This invention also provides pharmaceutical compositions comprising an amount of the non-chemokine agent capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells effective to prevent fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/10233

## A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

424/85.1, 85.2, 144.1, 188.1, 208.1; 530/350, 351, 388.22, 395, 402, 406.

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s) 1-5, drawn to polypeptides of CCR5.

Group II, claim(s) 6-8, drawn to polypeptides of HIV gp120 which bind CCR5.

Group III, claim(s) 9-10, 17-18, and 36-42, drawn to antibodies which bind CCR5.

Group IV, claim(s) 11, drawn to a method of treating HIV infection using CCR5 or gp120.

Group V, claim(s) 12, drawn to a method of preventing HIV infection using CCR5 or gp120.

Group VI, claim(s) 13-16 and 43, drawn to methods of inhibiting HIV-1 infection using a non-chemokine CCR5 ligand.

Group VII, claim(s) 19-21 and 24, drawn to a composition containing a CCR5 ligand and an additional ligand.

Group VIII, claim(s) 22-24 and 44-46, drawn to pegylated CCR5 ligands.

Group IX, claim(s) 25 and 47, drawn to methods of preventing HIV infection using a non-chemokine CCR5 ligand.

Group X, claim(s) 26 and 48, drawn to methods of treating HIV infection using a non-chemokine CCR5 ligand.

Group XI, claim(s) 27-30, drawn to screening assays for CCR5 ligands.

Group XII, claim(s) 31-34, drawn to transgenic animals.

Group XIII, claim(s) 35, drawn to a transformed host cell expressing CCR5.

The inventions listed as Groups I-III, VII-VIII and XII-XIII do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The inventions of groups I-III, VII-VIII and XII-XIII are directed to different products which differ in their chemical structure, function and biological activity and are not so linked by a special technical feature with in the meaning of PCT Rule 13.2 so as to form a single general inventive concept.

The inventions listed as Groups IV-VI and IX-XI do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the inventions of groups IV-VI and IX-XI are directed to different methods of treating and/or preventing HIV infection which differ in their uses, method steps, and reagents and are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to form a single general inventive concept.

The inventions listed as Groups I-III, VII-VIII and XII-XIII and the inventions listed as Groups IV-VI and IX-XI do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The products of the inventions of Groups I-III, VII-VIII and XII-XIII differ from the methods of the inventions of Groups IV-VI and IX-XI. Further, the products of Groups I-III, VII-VIII and XII-XIII can be used in multiple methods as evidenced by the inventions of Groups IV-VI and IX-XI and, therefore, the inventions of Groups I-III, VII-VIII and XII-XIII and the inventions of Groups IV-VI and IX-XI are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to form a single general inventive concept.

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack Unity of Invention because they are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for more than one species to be searched, the appropriate additional search fees must be paid. The species are as follows:

Species 1, claims 11 and 12, directed to CCR5

Species 2, claims 11 and 12, directed to gp120

Species 3, claims 25 and 26, directed to a composition containing a CCR5 ligand and an additional ligand.

Species 4, claims 25 and 26, directed to a composition containing pegylated CCR5 ligand.

The species listed above do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: The species set forth above are directed to the use of products which differ in their chemical composition, structure, function and biological activity and are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/10233

form a single general inventive concept.

METHOD FOR PREVENTING HIV-1 INFECTION OF CD4<sup>+</sup> CELLS

This application is a continuation-in-part of U.S. Serial No. 08/673,682, filed June 25, 1996, which is a continuation-in-part of U.S. Serial No. 08/663,616, filed June 14, 1996, which is a continuation-in-part of U.S. Serial No. 5 08/627,684, filed April 2, 1996, the content of which are incorporated by reference into this application.

Throughout this application, various references are referred to within parentheses. Disclosures of these publications in 10 their entireties are hereby incorporated by reference into this application to more fully describe the state of the art to which this invention pertains. Full bibliographic citation for these references may be found at the end of each series of experiments.

15

Background of the Invention

Chemokines are a family of related soluble proteins of molecular weight between 8 and 10KDa, secreted by lymphocytes and other cells, which bind receptors on target cell surfaces 20 resulting in the activation and mobilization of leukocytes, for example in the inflammatory process. Recently, Cocchi et al. demonstrated that the chemokines RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$  are factors produced by CD8 $^{+}$  T lymphocytes which inhibit infection by macrophage-tropic primary isolates of 25 HIV-1, but not infection by laboratory-adapted strains of the virus (1). These chemokines are members of the C-C group of chemokines, so named because they have adjacent cysteine residues, unlike the C-X-C group which has a single amino acid separating these residues (2). While Cocchi et al. 30 found that expression of HIV-1 RNA was suppressed by treatment with the chemokines, they did not identify the site of action of these molecules.

**SUBSTITUTE SHEET (RULE 26)**

A resonance energy transfer (RET) assay of HIV-1 envelope glycoprotein-mediated membrane fusion was used to determine whether fusion mediated by the envelope glycoprotein from the primary macrophage-tropic isolate of HIV-1<sub>JR-FL</sub> would be 5 specifically inhibited by chemokines, when compared with fusion mediated by the envelope glycoprotein from the laboratory-adapted T lymphotrophic strain HIV-1<sub>LAI</sub>. As described below, it was demonstrated that this is indeed the case. This demonstrates that some chemokine receptors are 10 fusion accessory molecules required for HIV-1 infection. Previous studies have indicated that unidentified cell surface molecules are required for virus entry in addition to the HIV-1 receptor, CD4. While CD4 is required for HIV-1 attachment, the accessory molecules are required for the 15 membrane fusion step of entry. These accessory molecules are generally expressed only on human cells, so HIV-1 does not infect non-human CD4<sup>+</sup> cells (3-6). Moreover it is possible to complement non-human CD4<sup>+</sup> cells by fusing them (using polyethylene glycol) with CD4<sup>+</sup> human cells, resulting 20 in a heterokaryon which is a competent target for HIV-1 envelope-mediated membrane fusion (7,8). These studies have been performed using laboratory-adapted T lymphotrophic strains of the virus.

25 In some cases, it appears that fusion accessory molecules are found on a subset of human CD4<sup>+</sup> cells and are required for infection by HIV-1 isolates with particular tropisms. For example, macrophage-tropic primary strains of HIV-1 such as HIV-1<sub>JR-FL</sub> may have different requirements for accessory 30 molecules compared with laboratory-adapted T lymphotrophic strains such as HIV-1<sub>LAI</sub>. This phenomenon may explain differences in tropism between HIV-1 strains.

35 The current invention comprises a series of new therapeutics for HIV-1 infection. It was demonstrated for the first time

that chemokines act at the fusion step of HIV-1 entry and specifically inhibit membrane fusion mediated by the envelope glycoprotein of primary macrophage-tropic primary viral isolates, not laboratory-adapted T lymphotrophic strains of the virus. Primary macrophage-tropic isolates of the virus are of particular importance since they are the strains usually involved in virus transmission, and may have particular importance in the pathogenesis of HIV-1 infection.

10

These results were obtained using a resonance energy transfer (RET) assay of HIV-1 envelope-mediated membrane fusion. Moreover, this assay is used to identify non-chemokines, including fragments of chemokines and modified chemokines, that inhibit HIV-1 envelope glycoprotein-mediated membrane fusion and thereby neutralize the virus, yet do not induce an inflammatory response.

Summary of the Invention

This invention provides a method for inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited.

This invention also provides a method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited, thereby inhibiting the HIV-1 infection.

This invention further provides non-chemokine agents capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells.

This invention provides an agent which is capable of binding to fusin and inhibiting infection. In an embodiment, the agent is an oligopeptide. In another embodiment, the agent is an polypeptide. In still another embodiment, the agent is an antibody or a portion of an antibody. In a separate embodiment, the agent is a nonpeptidyl agent.

In addition, this invention provides pharmaceutical compositions comprising an amount of the above non-chemokine agents or agents capable of binding to fusin effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

This invention provides a composition of matter capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a ligand capable of binding to a cell surface receptor of

the CD4<sup>+</sup> cells other than the chemokine receptor such that the binding of the non-chemokine agent to the chemokine receptor does not prevent the binding of the ligand to the other receptor.

5

This invention also provides a pharmaceutical composition comprising an amount of the above-described composition of matter effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

10

This invention provides a composition of matter capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a compound capable of increasing the *in vivo* half-life of 15 the non-chemokine agent.

20

This invention also provides a pharmaceutical composition comprising an amount of a composition of matter comprising a non-chemokine agent linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

25

This invention provide methods for reducing the likelihood of HIV-1 infection in a subject comprising administering an above-described pharmaceutical composition to the subject. This invention also provides methods for treating HIV-1 infection in a subject comprising administering an above-described pharmaceutical composition to the subject.

30

This invention also provides methods for determining whether a non-chemokine agent is capable of inhibiting the fusion of HIV-1 to a CD4<sup>+</sup> cell which comprise: (a) contacting (i) a CD4<sup>+</sup> cell which is labeled with a first dye and (ii) a cell 35 expressing the HIV-1 envelope glycoprotein on its surface

which is labeled with a second dye, in the presence of an excess of the agent under conditions permitting the fusion of the CD4<sup>+</sup> cell to the cell expressing the HIV-1 envelope glycoprotein on its surface in the absence of the agent, the 5 first and second dyes being selected so as to allow resonance energy transfer between the dyes; (b) exposing the product of step (a) to conditions which would result in resonance energy transfer if fusion has occurred; and (c) determining whether there is a reduction of resonance energy 10 transfer, when compared with the resonance energy transfer in the absence of the agent, a decrease in transfer indicating that the agent is capable of inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells.

Brief Description of the Figures

Figure 1. Membrane fusion mediated by the HIV-1<sub>JR-FL</sub> envelope glycoprotein is inhibited by RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$ .

5

10

15

%RET resulting from the fusion of PM1 cells and HeLa-env<sub>JR-FL</sub> (■) or HeLa-env<sub>LAI</sub> (◆) was measured in the presence and absence of recombinant human chemokines at a range of concentrations: RANTES (80 - 2.5 ng/ml), MIP-1 $\alpha$  (400 - 12.5 ng/ml) and MIP-1 $\beta$  (200 - 6.25 ng/ml), as indicated. Chemokines were added simultaneously with the cells at the initiation of a four hour incubation. Data are representative of more than three independent experiments which were run in duplicate. The percent inhibition of RET is defined as follows:

20

$$\% \text{ Inhibition} = 100 \cdot [(\text{Max RET} - \text{Min RET}) - (\text{Exp RET} - \text{Min RET})] / (\text{Max RET} - \text{Min RET})$$

25

30

where Max RET is the %RET value obtained at four hours with HeLa-env cells and CD4-expressing cells in the absence of an inhibitory compound; Exp RET is the %RET value obtained for the same cell combination in the presence of an inhibitory compound and Min RET is the background %RET value obtained using HeLa cells in place of HeLa envelope-expressing cells.

Figure 2. CD4:HIV-1 gp120 binding in the presence of human chemokines.

35

The binding of soluble human CD4 to HIV-1<sub>LAI</sub> and

HIV-1<sub>JR-FL</sub> gp120 was determined in an ELISA assay in the presence and absence of the monoclonal antibody OKT4A or recombinant human chemokines at a range of concentrations, identical to those used in the RET inhibition studies of Figure 1: 5 OKT4A (62 - 0.3 nM), RANTES (10.3 - 0.3 nM), MIP-1 $\alpha$  (53.3 - 2.9 nM), and MIP-1 $\beta$  (25.6 - 0.8 nM). Inhibitors were added simultaneously with biotinylated HIV-1 gp120 to soluble CD4 coated 10 microtiter plates (Dynatech Laboratories, Inc., Chantilly, VA). Following a two hour incubation at room temperature and extensive washing, an incubation with streptavidin-horseradish peroxidase was performed for one hour at room 15 temperature. Following additional washes, substrate was added and the OD at 492 nm determined in an ELISA plate reader. Data are representative of two independent experiments which were run in quadruplicate.

20

Figure 3. Specificity, time course and stage of  $\beta$ -chemokine inhibition of HIV-1 replication.

25

30

35

(a) PM1 cells ( $1 \times 10^6$ ) were preincubated with RANTES + MIP- 1 $\alpha$  + MIP-1 $\beta$  (R/M $\alpha$ /M $\beta$ ; 100ng/ml of each) for 24h (-24h) or 2h (-2h), then washed twice with phosphate buffered saline (PBS). HIV-1 (BaL env-complemented) virus (50ng of p24; see legend to Table 1) was added for 2h, then the cells were washed and incubated for 48h before measurement of luciferase activity in cell lysates as described previously (10,11). Alternatively, virus and R/M $\alpha$ /M $\beta$  were added simultaneously to cells, and at the indicated time points (1h, 3h, etc) the cells were washed

twice in PBS, resuspended in culture medium and  
incubated for 48h prior to luciferase assay.  
Time 0 represents the positive control, to which  
no  $\beta$ -chemokines were added. +2h represents the  
5 mixture of virus with cells for 2h prior to  
washing twice in PBS, addition of R/M $\alpha$ /M $\beta$  and  
continuation of the culture for a further 48h  
before luciferase assay.

10 (b) PM1 cells ( $1 \times 10^6$ ) were infected with HIV-1  
(500pg p24) grown in CEM cells (NL4/3; lanes 1-4)  
or macrophages (ADA; lanes 5-8), in the presence  
of 500ng/ml of RANTES (lanes 1 and 5) or MIP-1 $\beta$   
(lanes 2 and 6), or with no  $\beta$ -chemokine (lanes 4  
15 and 8). Lanes 3 and 7 are negative controls (no  
virus). All viral stocks used for the PCR assay  
were treated with DNase for 30 min at 37°C, and  
tested for DNA contamination before use. After  
2h, the cells were washed and resuspended in  
20 medium containing the same  $\beta$ -chemokines for a  
further 8h. DNA was then extracted from infected  
cells using a DNA/RNA isolation kit (US  
Biochemicals). First round nested PCR was  
25 performed with primers: U3+,  
5'-CAAGGCTACTTCCCTGATTGGCAGAACTACACACCAGG-3' (SEQ  
ID NO:1) preGag,  
5'-AGCAAGCCGAGTCCTGCGTCGAGAG-3' (SEQ ID NO:2) and  
the second round with primers: LTR-test,  
30 5'-GGGACTTTCCGCTGGGGACTTTC 3' (SEQ ID NO :3) LRC2,  
5'-CCTGTTGGGCGCCACTGCTAGAGATTTCCAC 3' (SEQ ID  
NO:4) in a Perkin Elmer 2400 cycler with the  
following amplification cycles: 94°C for 5 min, 35  
cycles of 94°C for 30s, 55°C for 30s, 72°C for 30s,  
72°C for 7 min. M indicates 1kb DNA ladder; 1,  
35 10, 100, 1000 indicate number of reference

10

plasmid (pAD8) copies. The assay can detect 100 copies of reverse transcripts.

5 Figure 4: HIV-1 env-mediated membrane fusion of cells transiently expressing C-C CKR-5.

10 Membrane fusion mediated by  $\beta$ -chemokine receptors expressed in HeLa cells was demonstrated as follows: Cells were transfected with control plasmid pcDNA3.1 or plasmid pcDNA3.1 -CKR constructs using lipofectin (Gibco BRL). The pcDNA3.1 plasmid carries a T7-polymerase promoter and transient expression of  $\beta$ -chemokine receptors was boosted by infecting cells with  $1 \times 10^7$  pfu of 15 vaccinia encoding the T7-polymerase (vFT7.3) 4h post-lipofection (9). Cells were then cultured overnight in R18-containing media and were tested for their ability to fuse with HeLa-JR-FL cells (filled columns) or HeLa-BRU cells (hatched column) in the RET assay. The %RET with control 20 HeLa cells was between 3% and 4% irrespective of the transfected plasmid.

25 Figure 5 Membrane fusion mediated by the HIV<sub>LAI</sub> envelope glycoprotein is inhibited by SDF-1.

30 % RET resulting from the fusion of PM1 cells and HeLa-env<sub>JR-FL</sub> or HeLa-env<sub>LAI</sub> cells (as indicated on the graph) was measured in the presence of recombinant SDF-1 $\alpha$  (Gryphon Science, San Francisco) at the indicated concentrations. Experimental method as described in the legend to Fig. 1.

Detailed Description of the Invention

This invention provides a method for inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited.

5 This invention also provides a method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited, thereby inhibiting the HIV-1 infection.

10 15 In this invention, a chemokine means RANTES, MIP-1- $\alpha$ , MIP-1- $\beta$  or another chemokine which blocks HIV-1 infection. A chemokine receptor means a receptor capable of binding RANTES, MIP-1- $\alpha$ , MIP-1- $\beta$  or another chemokine which blocks HIV-1 infection.

20 25 Throughout this application, the receptor "fusin" is also named CXCR4 and the chemokine receptor C-C CKR5 is also named CCR5.

30 35 The HIV-1 used in this application unless specified will mean clinical or primary or field isolates or HIV-1 viruses which maintain their clinical characteristics. The HIV-1 clinical isolates may be passaged in primary peripheral blood mononuclear cells. The HIV-1 clinical isolates may be macrophage-trophic.

The non-chemokine agents of this invention are capable of binding to chemokine receptors and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells. The non-chemokine agents include, but are not limited to, chemokine fragments and chemokine

derivatives and analogues, but do not include naturally occurring chemokines. The non-chemokine agents include multimeric forms of the chemokine fragments and chemokine derivatives and analogues or fusion molecules which contain 5 chemokine fragments, derivatives and analogues linked to other molecules.

In an embodiment of this invention, the non-chemokine agent is an oligopeptide. In another embodiment, the non-10 chemokine agent is a polypeptide. In still another embodiment, the non-chemokine agent is an antibody or a portion thereof. Antibodies against the chemokine receptor may easily be generated by routine experiments. It is also within the level of ordinary skill to synthesize fragments 15 of the antibody capable of binding to the chemokine receptor. In a further embodiment, the non-chemokine agent is a nonpeptidyl agent.

Non-chemokine agents which are purely peptidyl in 20 composition can be either chemically synthesized by solid-phase methods (Merrifield, 1966) or produced using recombinant technology in either prokaryotic or eukaryotic systems. The synthetic and recombinant methods are well known in the art.

25 Non-chemokine agents which contain biotin or other nonpeptidyl groups can be prepared by chemical modification of synthetic or recombinant chemokines or non-chemokine agents. One chemical modification method involves periodate oxidation of the 2-amino alcohol present on chemokines or 30 non-chemokine agents possessing serine or threonine as their N-terminal amino acid (Geophegan and Stroh, 1992). The resulting aldehyde group can be used to link peptidyl or non-peptidyl groups to the oxidized chemokine or non-chemokine agent by reductive amination, hydrazine, or other 35

chemistries well known to those skilled in the art.

As used herein, a N-terminus of a protein should mean the terminus of the protein after it has been processed. In the case of a secretory protein which contains a cleavable signal sequence, the N-terminus of a secretory protein should be the terminus after the cleavage of a signal peptide.

10 This invention provides a method of identifying these non-chemokine agents. One way of identifying such agents, including non-peptidyl agents, that bind to a chemokine receptor and inhibit fusion of HIV-1 to CD4<sup>+</sup> cells is to use the following assay: 1) Incubate soluble CD4 with biotinylated gp120 from HIV-1<sub>JR-FL</sub> or HIV-1<sub>LAI</sub>; 2) Incubate this complex with CCR5 or CXCR4-expressing cells (for HIV-1<sub>JR-FL</sub> or HIV-1<sub>LAI</sub> gp120s, respectively) that do not express CD4, in the presence or absence of a candidate inhibitor; 3) Wash and then incubate with streptavidin-phycoerythrin; and 20 4) Wash and then measure the amount of bound gp120 using a flow cytometer or fluorometer and calculate the degree of inhibition of binding by the inhibitor.

Alternative methods to detect bound gp120 can also be used  
25 in place of the biotinylated gp120-streptavidin-phycoerythrin method described above. For example, peroxidase-conjugated gp120 could be used in place of the biotinylated gp120 and binding detected using an appropriate colorimetric substrate for peroxidase, with a spectrometric  
30 readout.

This invention further provides the non-chemokine agents identified by the above methods.

This invention provides a non-chemokine agent capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells. In an embodiment, the non-chemokine is a polypeptide. In a further embodiment, this polypeptide is 5 a fragment of the chemokine RANTES (Gong et al., 1996). In a still further embodiment, the polypeptide may also comprise the RANTES sequence with deletion of the N-terminal amino acids of said sequence. The deletion may be the first eight N-terminal amino acids of the RANTES sequence (SEQ ID 10 NO:5).

In a separate embodiment, the polypeptide may comprise the MIP-1 $\beta$  sequence with deletion of the N-terminal amino acids of said sequence. The deletion may be the first seven, 15 eight, nine or ten N-terminal amino acids of the MIP-1 $\beta$  sequence.

In another embodiment of non-chemokine agent, the polypeptide comprises the MIP-1 $\beta$  sequence with the N-terminal sequence modified by addition of an amino acid or oligopeptide. In a separate embodiment, the polypeptide comprises the MIP-1 $\beta$  sequence with the N-terminal sequence modified by removing the N-terminal alanine and replaced it by serine or threonine and additional amino acid or 20 oligopeptide or nonpeptidyl moiety. In a further embodiment, the additional amino acid is methionine.

As described infra in the section of Experimental Details, 30 a cofactor for HIV-1 fusion and entry was identified and designated "fusin" (Feng et al., 1996). This invention provides an agent which is capable of binding to fusin and inhibiting infection. In an embodiment, the agent is an oligopeptide. In another embodiment, the agent is an 25 polypeptide.

In a further embodiment, the polypeptide comprises SDF-1 with deletion of the N-terminal amino acids of said sequence. The deletion may be the first six, seven, eight, or nine N-terminal amino acids of the SDF-1 sequence.

5

This invention also provides the above non-chemokine agent, wherein the polypeptide comprises SDF-1 sequence with the N-terminal sequence modified to produce antagonistic effect to SDF-1. One modification is to replace the N-terminal glycine of SDF-1 by serine and derivatized with biotin. Another modification is to replace the N-terminal glycine of SDF-1 by serine and derivatized with methionine. A further modification is to add the N-terminus of SDF-1 with a methionine before the terminal glycine.

10

In still another embodiment, the agent is an antibody or a portion of an antibody. In a separate embodiment, the agent is a nonpeptidyl agent.

15

The agents capable of binding to fusin may be identified by screening different compounds for their capability to bind to fusin in vitro.

20

A suitable method has been described by Fowlkes, et al. (1994), international application number: PCT/US94/03143, international publication number: WO 94/23025, the content of which is incorporated by reference into this application. Briefly, yeast cells having a pheromone system are engineered to express a heterologous surrogate of a yeast pheromone system protein. The surrogate incorporates fusin and under some conditions performs in the pheromone system of the yeast cell a function naturally performed by the corresponding yeast pheromone system protein. Such yeast cells are also engineered to express a library of peptides whereby a yeast cell containing a peptide which binds fusin

exhibits modulation of the interaction of surrogate yeast pheromone system protein with the yeast pheromone system and this modulation is a selectable or screenable event. Similar approaches may be used to identify agents capable of 5 binding to both fusin and the chemokine receptor C-C CKR-5.

This invention also provides pharmaceutical compositions comprising an amount of such non-chemokine agents or agents capable of binding to fusin effective to inhibit fusion of 10 HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

Pharmaceutically acceptable carriers are well known to those skilled in the art. Such pharmaceutically acceptable 15 carriers may be aqueous or non-aqueous solutions, suspensions, and emulsions. Examples of non-aqueous solvents are propylene glycol, polyethylene glycol, vegetable oils such as olive oil, and injectable organic esters such as ethyl oleate. Aqueous carriers include 20 water, alcoholic/aqueous solutions, emulsions or suspensions, saline and buffered media. Parenteral vehicles include sodium chloride solution, Ringer's dextrose, dextrose and sodium chloride, lactated Ringer's or fixed 25 oils. Intravenous vehicles include fluid and nutrient replenishers, electrolyte replenishers such as those based on Ringer's dextrose, and the like. Preservatives and other additives may also be present, such as, for example, antimicrobials, antioxidants, chelating agents, inert gases and the like.

30 This invention provides a composition of matter capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a ligand capable of binding to a cell surface receptor of 35 the CD4<sup>+</sup> cells other than the chemokine receptor such that

the binding of the non-chemokine agent to the chemokine receptor does not prevent the binding of the ligand to the other receptor. In an embodiment, the cell surface receptor is CD4. In another embodiment, the ligand is an antibody or 5 a portion of an antibody.

This invention also provides a pharmaceutical composition comprising an amount of an above-described composition of matter effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells 10 and a pharmaceutically acceptable carrier.

This invention provides a composition of matter capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked 15 to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent. In an embodiment, the compound is polyethylene glycol.

This invention also provides a pharmaceutical composition 20 comprising an amount of a composition of matter comprising a non-chemokine agent linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

25 This invention provide methods for reducing likelihood of HIV-1 infection in a subject comprising administering the above-described pharmaceutical compositions to the subject. This invention also provides methods for treating HIV-1 30 infection in a subject comprising administering the above-described pharmaceutical compositions to the subject.

This invention also provides methods for determining whether 35 a non-chemokine agent is capable of inhibiting the fusion of HIV-1 to a CD4<sup>+</sup> cell which comprise: (a) contacting (i) a

CD4<sup>+</sup> cell which is labeled with a first dye and (ii) a cell expressing the HIV-1 envelope glycoprotein on its surface which is labeled with a second dye, in the presence of an excess of the agent under conditions permitting the fusion 5 of the CD4<sup>+</sup> cell to the cell expressing the HIV-1 envelope glycoprotein on its surface in the absence of the agent, the first and second dyes being selected so as to allow resonance energy transfer between the dyes; (b) exposing the product of step (a) to conditions which would result in 10 resonance energy transfer if fusion has occurred; and (c) determining whether there is a reduction of resonance energy transfer, when compared with the resonance energy transfer in the absence of the agent, a decrease in transfer indicating that the agent is capable of inhibiting fusion of 15 HIV-1 to CD4<sup>+</sup> cells.

HIV-1 only fuses with appropriate CD4<sup>+</sup> cells. For example, laboratory-adapted T lymphotropic HIV-1 strains fuse with most CD4<sup>+</sup> human cells. Clinical HIV-1 isolates do not fuse 20 with most transformed CD4<sup>+</sup> human cell lines but do fuse with human primary CD4<sup>+</sup> cells such as CD4<sup>+</sup> T lymphocytes and macrophages. Routine experiments may be easily performed to determine whether the CD4<sup>+</sup> cell is appropriate for the above fusion assay.

25 As described in this invention, HIV-1 membrane fusion is monitored by a resonance energy transfer assay. The assay was described in the International Application Number, PCT/US94/14561, filed December 16, 1994 with International Publication Number WO 95/16789. This assay is further 30 elaborated in a United States co-pending application no. 08/475,515, filed June 7, 1995. The contents of these applications are hereby incorporated by reference into this application.

In an embodiment of the above method, the non-chemokine agent is an oligopeptide. In another embodiment, the non-chemokine agent is a polypeptide. In still another embodiment, the agent is an antibody or a portion thereof.

5 In a further embodiment, the non-chemokine agent is a nonpeptidyl agent.

In a separate embodiment, the CD4<sup>+</sup> cell is a PM1 cell. In another embodiment, the cell expressing the HIV-1 envelope 10 glycoprotein is a HeLa cell expressing HIV-1<sub>JR-FL</sub> gp120/gp41.

This invention will be better understood by reference to the Experimental Details which follow, but those skilled in the art will readily appreciate that the specific experiments 15 detailed are only illustrative of the invention as described more fully in the claims which follow thereafter.

Experimental Details

## FIRST SERIES OF EXPERIMENTS

1) Chemokines inhibit fusion mediated by the envelope glycoprotein from a macrophage-tropic primary isolate of HIV-1 but not from a laboratory-adapted T-lymphotrophic strain of the virus

The chemokines RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$  were obtained from R & D systems (Minneapolis, MN). They were tested in the RET assay for ability to inhibit fusion between HeLa-env<sub>JR-FL</sub> cells (expressing gp120/gp41 from the macrophage tropic isolate HIV-1<sub>JR-FL</sub>) and PM1 cells, or for inhibition of fusion between HeLa-env<sub>LA</sub> cells (expressing gp120/gp41 from the laboratory-adapted strain HIV-1<sub>LA</sub>) and various CD4 $^{+}$  T lymphocyte cell lines. As shown in Figure 1, all three chemokines inhibited fusion mediated by the macrophage tropic virus envelope glycoprotein, but not that mediated by the laboratory-adapted strain envelope glycoprotein.

20 The ability of the chemokines to block the interaction between CD4 and HIV-1 gp120 which occurs at virus attachment was then tested. It was found that the chemokines did not inhibit this interaction (Figure 2), demonstrating that their blockade of HIV-1 envelope glycoprotein-mediated membrane fusion occurs at the membrane fusion event itself, rather than the initial CD4-gp120 interaction which precedes fusion.

2) Non-chemokine peptides and derivatives that inhibit HIV-1 fusion

The non-chemokines include chemokine fragments and chemokine derivatives that are tested in the RET assay to determine which are active in inhibiting HIV-1 membrane fusion. 35 Particular attention is focused on fragments or derivatives

that inhibit HIV-1 fusion but do not activate leukocyte responses. These non-chemokines include:

a) N-terminal derivatives of the chemokines. Addition of residues to the N-terminus of chemokines inhibits the function of these proteins without significantly reducing their ability to bind chemokine receptors. For example, Met-RANTES (RANTES with an N-terminal methionine) has been shown to be a powerful antagonist of native RANTES and is unable to induce chemotaxis or calcium mobilization in certain systems. The mechanism of antagonism appears to be competition for receptor binding (9). Similar results were found using other derivatives of the N terminus of RANTES (9) and also by N-terminal modification of other chemokines, such as IL-8 (a member of the C-X-C chemokines) (10). The current invention includes Met-RANTES and other chemokines derivatised by the addition of methionine, or other residues, to the N-terminus so that they inhibit fusion mediated by the envelope glycoprotein of HIV-1<sub>JR-FL</sub>, and inhibit infection by many isolates of HIV-1, yet do not activate the inflammatory response.

b) Chemokines with N-terminal amino acids deleted: Chemokine antagonists have been generated by deleting amino acids in the N-terminal region. For example, deletion of up to 8 amino acids at the N-terminus of the chemokine MCP-1 (a member of the C-C chemokine group), ablated the bioactivity of the protein while allowing it to retain chemokine receptor binding and the ability to inhibit activity of native MCP-1 (11,12).

The current invention includes N-terminal deletants of RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$ , lacking the biological activity of the native proteins, which inhibit HIV-1 fusion and HIV-1 infection.

c) Other peptides: A series of overlapping peptides (e.g. of 20-67 residues) from all regions of RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$  are screened by the same approaches to identify peptides which inhibit HIV-1 fusion most potently without activating leukocytes. Activation of leukocyte responses is measured following routine procedures (9, 10, 11, 12).

5 3) Cloning the chemokine receptors

Chemokine receptors required for HIV-1 fusion are cloned by the following strategy. First a cDNA library is made in a 10 mammalian expression vector (e.g. pcDNA3.1 from Invitrogen Corp. San Diego, CA) using mRNA prepared from the PM1 cell line or CD4 $^{+}$  T-lymphocytes or macrophages. Degenerate 15 oligonucleotide probes are used to identify members of the cDNA library encoding members of the chemokine receptor family, for example following previously published methods (2). The vectors containing chemokine receptor cDNAs are then individually expressed in one of several mammalian cell lines which express human CD4 but do not fuse with HeLa-env<sub>JR-FL</sub> cells (e.g. HeLa-CD4, CHO-CD4 or COS-CD4) or 20 HeLa-env<sub>LA1</sub> cells (e.g. CHO-CD4 or COS-CD4). Following analysis in the RET assay, clones which gain the ability to fuse with HeLa-env<sub>JR-FL</sub> or HeLa-env<sub>LA1</sub> are identified and the coding 25 sequences recovered, for example by PCR amplification, following procedures well known to those skilled in the art. DNA sequencing is then performed to determine whether the cDNA recovered encodes a known chemokine receptor. Following expression of the receptor, monoclonal and polyclonal antibodies are prepared and tested for ability to inhibit infection by a panel of HIV-1 isolates.

References of the First Series of Experiments

1. Cocchi, F., DeVico, A. L., Garzino-Demo, A., Arya, S. K., Gallo, R. C., Lusso, P. 1995. *Science*. 270:1811-1815.
2. Raport, C. J., Schweickart, V. L., Chantry, D., Eddy Jr., R. L., Shows, T. B., Godiska, R., Gray, P. W. 1996. *Journal of Leukocyte Biology*. 59: 18-23.
3. Maddon PJ., Dalglish AG., McDougal JS., Clapham PR., Weiss RA., Axel R. 1986. *Cell*. 47:333-348.
4. Ashorn PA., Berger EA., Moss B. 1990. *J. Virol.* 64:2149-2156.
5. Clapham PR., Blanc D., Weiss RA. 1991. *Virology*. 181:703-715.
6. Harrington RD., Geballe AP. 1993. *J. Virol.* 67:5939-5947.
7. Broder CC., Dimitrov DS., Blumenthal R., Berger EA. 1993. *Virology*. 193:483-491.
8. Dragic T., Charneau P., Clavel F., Alizon M. 1992. *J. Virol.* 66:4794-4802.
9. Wells, T. N., Power, C. A., Lusti-Narasimhan, M., Hoogewerf, A. J., Cooke, R. M., Chung, C. W., Peitsch, M. C., Proudfoot, A. E. 1996. *Journal of Leukocyte Biology*. 59:53-60.
10. Moser, B., Dewald, B., Barella, L., Schumacher, C., Baggiolini, M., Clark-Lewis, I. 1993. *Journal of*

*Biological Chemistry.* 268:7125-7128.

11. Gong, J. H., Clark-Lewis, I. 1995. *J. Exp. Med.*  
181:631-640.

5

12. Zhang, Y. J., Rutledge, B. J., Rollins, B. J. 1994.  
*Journal of Biological Chemistry.* 269:15918-15924.

13. Merrifield, R.B. (1963) *J. Am. Chem. Soc.* 85: 2149-2154.

10

14. Goeghegan, K.F. Stroh, J.F. (1992) *Bioconjugate Chem.*  
3: 138-146.

**SECOND SERIES OF EXPERIMENTS**

The replication of primary, non-syncytium-inducing (NSI) HIV-1 isolates in CD4<sup>+</sup> T-cells is inhibited by the C-C  $\beta$ -chemokines MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES (1,2), but T-cell line-adapted (TCLA) or syncytium-inducing (SI) primary strains are insensitive (2,3). The  $\beta$ -chemokines are small (8kDa), related proteins active on cells of the lymphoid and monocyte lineage (4-8). Their receptors are members of the 7-membrane-spanning, G-protein-linked superfamily, one of which (the LESTR orphan receptor) has been identified as the second receptor for TCLA HIV-1 strains, and is now designated fusin (9). Fusin is not known to be a  $\beta$ -chemokine receptor (7-9).

To study how  $\beta$ -chemokines inhibit HIV-1 replication, a virus entry assay based on single-cycle infection by an env-deficient virus, NL4/3 $\Delta$ env (which also carries the luciferase reporter gene), complemented by envelope glycoproteins expressed in trans was used (10,11). Various env-complemented viruses were tested in PM1 cells, a variant of HUT-78 that has the unique ability to support replication of primary and TCLA HIV-1 strains, allowing comparison of envelope glycoprotein functions against a common cellular background (2,12). MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES are most active against HIV-1 in combination (2,3), and strongly inhibited infection of PM1 cells by complemented viruses whose envelopes are derived from the NSI primary strains ADA and BaL (Table 1a).

Table 1: Inhibition of HIV-1 entry in PM1 cells and CD4<sup>+</sup> T-cells by  $\beta$ -chemokines

	% luciferase activity				
	BaL	ADA	NL4/3	HxB2	MuLV
<b>a)</b>					
<b>PM1 cells</b>					
control without virus	2	2	2	5	3
control with virus	100	100	100	100	100
+R/M $\alpha$ /M $\beta$ (50/50/50)	2	3	92	117	100
+RANTES (100)	1	1	nd	nd	nd
+MIP-1 $\alpha$ (100)	54	54	nd	nd	nd
+MIP-1 $\beta$ (100)	1	6	nd	nd	nd
+MCP-1 (100)	46	50	nd	nd	nd
+MCP-2 (100)	28	26	nd	nd	nd
+MCP-3 (100)	58	46	nd	nd	nd
<b>b)</b>					
<b>LW4 CD4<sup>+</sup> T-cells</b>	JR-FL	HxB2	MuLV		
control without virus	1	1	1		
control with virus	100	100	100		
+R/M $\alpha$ /M $\beta$ (200/200/200)	14	68	nd		
<b>LW5 CD4<sup>+</sup> T-cells</b>					
control without virus	1	1	1		
control with virus	100	100	100		
+R/M $\alpha$ /M $\beta$ (200/200/200)	15	73	nd		

Table 1 legend:

PM1 cells were cultured as described by Lusso et al (12). Ficoll/hyopaque-isolated PBMC from laboratory workers (LW) stimulated with PHA for 72h before depletion of CD8<sup>+</sup> lymphocytes with anti-CD8 immunomagnetic beads (DYNAL, Great Neck, NY). CD4<sup>+</sup> lymphocytes were maintained in culture medium containing interleukin-2 (100U/ml; Hofmann LaRoche, Nutley, NJ), as described previously (3). Target cells (1-2x10<sup>5</sup>) were infected with supernatants (10-50ng of HIV-1 p24) from 293-cells co-transfected with an NL4/3Δenv-luciferase vector and a HIV-1 env-expressing vector (10,11).  $\beta$ -Chemokines (R & D Systems, Minneapolis) were added to the target cells simultaneously with virus, at the final concentrations (ng/ml) indicated in parentheses in the first column. The  $\beta$ -chemokine concentration range was

selected based on prior studies (2,3). After 2h, the cells were washed twice with PBS, resuspended in  $\beta$ -chemokine-containing media and maintained for 48-96h. Luciferase activity in cell lysates was measured as described previously (10,11). The values indicated represent luciferase activity (cpm)/ng p24/mg protein, expressed relative to that in virus-control cultures lacking  $\beta$ -chemokines (100%), and are the means of duplicate or sextuplicate determinations. nd, not done. R/M $\alpha$ /M $\beta$ , RANTES + MIP-1 $\alpha$  + MIP-1 $\beta$ .

RANTES and MIP-1 $\beta$  were strongly active when added individually, while other  $\beta$ -chemokines - MIP-1 $\alpha$ , MCP-1, MCP-2 and MCP-3 (refs. 13-15) - were weaker inhibitors (Table 1a). However, MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES, in combination, did not inhibit infection of PM1 cells by the TCLA strains NL4/3 and HxB2, or by the amphotropic murine leukemia virus (MuLV-Ampho) pseudotype (Table 1a). Thus, phenotypic characteristics of the HIV-1 envelope glycoproteins influence their sensitivity to  $\beta$ -chemokines in a virus entry assay.

The env-complementation assay was used to assess HIV-1 entry into CD4+ T-cells from two control individuals (LW4 and LW5). MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES strongly inhibited infection by the NSI primary strain JR-FL infection of LW4's and LW5's CD4+ T-cells, and weakly reduced HxB2 infection of LW cells (Table 1b), suggesting that there may be some overlap in receptor usage on activated CD4+ T-cells by different virus strains. BaL env-mediated replication in normal PBL was also inhibited by MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES, albeit with significant inter-donor variation in sensitivity (data not shown).

It was determined when  $\beta$ -chemokines inhibited HIV-1

replication by showing that complete inhibition of infection of PM1 cells required the continuous presence of  $\beta$ -chemokines for up to 5h after addition of ADA or BaL env-complemented virus (Fig.3a). Pre-treatment of the cells 5 with  $\beta$ -chemokines for 2h or 24h prior to infection had no inhibitory effect if the cells were subsequently washed before virus addition. Furthermore, adding  $\beta$ -chemokines 2h after virus only minimally affected virus entry (Fig.3a). A PCR-based assay was next used to detect HIV-1 early DNA 10 reverse transcripts in PM1 cells after 10h of infection; reverse transcription of ADA, but not of NL4/3, could not be detected in the presence of MIP-1 $\beta$  and RANTES (Fig.3b). Thus, inhibition by  $\beta$ -chemokines requires their presence 15 during at least one of the early stages of HIV-1 replication: virus attachment, fusion and early reverse transcription.

As described in part in the First Series of Experiments, these sites of action were discriminated, first by testing 20 whether  $\beta$ -chemokines inhibited binding of JR-FL or BRU (LAI) gp120 to soluble CD4, or of tetrameric CD4-IgG2 binding to HeLa-JR-FL cells expressing oligomeric envelope 25 glycoproteins (17). No inhibition by any of the  $\beta$ -chemokines was found in either assay, whereas the OKT4a CD4-MAb was strongly inhibitory in both (Fig. 2 and data not shown). Thus,  $\beta$ -chemokines inhibit a step after CD4 binding, when conformational changes in the envelope glycoproteins lead to fusion of the viral and cellular membranes (18). Cell-cell membrane fusion is also induced by the gp120-CD4 30 interaction, and can be monitored directly by resonance energy transfer (RET) between fluorescent dyes incorporated into cell membranes (17). In the RET assay, OKT4a completely inhibits membrane fusion of PM1 cells with HeLa cells expressing the envelope glycoproteins of either JR-FL 35 (HeLa-JR-FL, the same cell line referred to above as HeLa-

env<sub>JR-FL</sub>) or BRU (HeLa-BRU, the same cell line referred to above as HeLa-env<sub>LAI</sub>), confirming the specificity of the process (17). RANTES, MIP-1 $\beta$  (and to a lesser extent, MIP-1 $\alpha$ ) strongly inhibited membrane fusion of HeLa-JR-FL 5 cells with PM1 cells, whereas fusion between PM1 cells and HeLa-BRU cells was insensitive to these  $\beta$ -chemokines (Fig. 1 and Table 2a).

Table 2: Effect of  $\beta$ -chemokines on HIV-1 envelope glycoprotein-mediated membrane fusion measured using the RET assay

	% Fusion	
	HeLa-JR-FL	HeLa-BRU
a) PM1 cells		
no chemokines	100	100
+R/M $\alpha$ /M $\beta$ (80/400/100)	1	95
+RANTES (80)	8	100
+MIP-1 $\alpha$ (400)	39	100
+MIP-1 $\beta$ (100)	13	93
+MCP-1 (100)	99	98
+MCP-2 (100)	72	93
+MCP-3 (100)	98	99
b) LW5 CD4 $^+$ cells		
no chemokines	100	100
+R/M $\alpha$ /M $\beta$ (106/533/133)	39	100
+RANTES (106)	65	95
+MIP-1 $\alpha$ (533)	72	100
+MIP-1 $\beta$ (133)	44	92
+OKT4A (3ug/ml)	0	0

Table 2 legend:

CD4 $^+$  target cells (mitogen-activated CD4 $^+$  lymphocytes or PM1 10 cells) were labeled with octadecyl rhodamine (Molecular Probes, Eugene, OR), and HeLa-JR-FL cells, HeLa-BRU cells (or control HeLa cells, not shown) were labeled with octadecyl fluorescein (Molecular Probes), overnight at 37°C. Equal numbers of labeled target cells and env-expressing 15 cells were mixed in 96-well plates and  $\beta$ -chemokines (or CD4 MAb OKT4a) were added at the final concentrations (ng/ml) indicated in parentheses in the first column. Fluorescence emission values were determined 4h after cell mixing (17).

If cell fusion occurs, the dyes are closely associated in the conjoined membrane such that excitation of fluorescein at 450nm results in resonance energy transfer (RET) and emission by rhodamine at 590nm. Percentage fusion is defined 5 as equal to  $100 \times \{(\text{Exp RET} - \text{Min RET}) / (\text{Max RET} - \text{Min RET})\}$ , where Max RET = %RET obtained when HeLa-Env and CD4<sup>+</sup> cells are mixed, Exp RET = %RET obtained when HeLa-Env and CD4<sup>+</sup> cells are mixed in the presence of fusion-inhibitory compounds, and Min RET = %RET obtained when HeLa cells 10 (lacking HIV-1 envelope glycoproteins) and CD4<sup>+</sup> cells are mixed. The %RET value is defined by a calculation described elsewhere(17), and each is the mean of triplicate determinations. These values were, for HeLa-JR-FL and HeLa-BRU cells respectively: PM1 cells 11.5%, 10.5%; LW5 15 CD4<sup>+</sup> cells, 6.0%, 10.5%; R/M $\alpha$ /M $\beta$ , RANTES + MIP-1 $\alpha$  + MIP-1 $\beta$ .

Similar results were obtained with primary CD4<sup>+</sup> T-cells from LW5 (Table 2b), although higher concentrations of  $\beta$ -chemokines were required to inhibit membrane fusion in the 20 primary cells than in PM1 cells. Thus, the actions of the  $\beta$ -chemokines are not restricted to the PM1 cell line. The RET assay demonstrates that  $\beta$ -chemokines interfere with env-mediated membrane fusion.

25 The simplest explanation of these results is that the binding of certain  $\beta$ -chemokines to their receptor(s) prevents, directly or otherwise, the fusion of HIV-1 with CD4<sup>+</sup> T-cells. It has been known for a decade that HIV-1 requires a second receptor for entry into CD4<sup>+</sup> cells 30 (19-21). This function is supplied, for TCLA strains, by fusin (9). Several receptors for MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES have been identified (6,7), and  $\beta$ -chemokines exhibit considerable cross-reactivity in receptor usage (4-8). However, C-C CKR-1 and, especially, C-C CKR-5 were 35 identified as the most likely candidates, based on tissue

expression patterns and their abilities to bind MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES (4,7,8,15,22). C-C CKR-1, C-C CKR-5 and LESTR are each expressed at the mRNA level in PM1 cells and primary macrophages (data not shown). These and other  $\beta$ -chemokine receptors were therefore PCR-amplified, cloned and expressed.

The expression of C-C CKR-5 in HeLa-CD4 (human), COS-CD4 (simian) and 3T3-CD4 (murine) cells rendered each of them readily infectible by the primary, NSI strains ADA and BaL in the env-complementation assay of HIV-1 entry (Table 3).

Table 3: C-C CKR-5 expression permits infection of CD4-expressing cells by primary, NSI HIV-1 strains

		pcDNA3.1	LESTR	CKR-1	CKR-2a	CKR-3	CKR-4	CKR-5	R/Ma/MB
COS-CD4	ADA	798	456	600	816	516	534	153000	3210
	BaL	660	378	600	636	516	618	58800	756
	HxB2	5800	96700	5240	5070	5470	5620	4850	5000
HeLa-CD4	ADA	678	558	4500	912	558	600	310000	6336
	BaL	630	738	1800	654	516	636	104000	750
	HxB2	337000	nd	nd	nd	nd	nd	nd	356000
3T3-CD4	ADA	468	558	450	618	534	606	28400	1220
	BaL	606	738	660	738	534	558	11700	756
	HxB2	456	24800	618	672	732	606	618	606

## Table 3 legend:

Chemokine receptor genes C-C CKR-1, C-C CKR-2a, C-C CKR-3, C-C CKR-4 and C-C CKR-5 have no introns (4-8,15,22) and were 5 isolated by PCR performed directly on a human genomic DNA pool derived from the PBMC of seven healthy donors. Oligonucleotides overlapping the ATG and the stop codons and containing BamHI and Xhol restriction sites for directional 10 cloning into the pcDNA3.1 expression vector (Invitrogen Inc.) were used. LESTR (also known as fusin or HUMSTR) (4,9,24) was cloned by PCR performed directly on cDNA derived from PM1 cells, using sequences derived from the NIH 15 database. Listed below are the 5' and 3' primer pairs used in first (5-1 and 3-1) and second (5-2 and 3-2) round PCR amplification of the CKR genes directly from human genomic DNA, and of LESTR from PM1 cDNA. Only a single set of primers was used to amplify CKR-5.

LESTR: L/5-1 = AAG CTT GGA GAA CCA GCG GTT ACC ATG GAG GGG ATC (SEQ ID NO: 6);

20 L/5-2 = GTC TGA GTC TGA GTC AAG CTT GGA GAA CCA (SEQ ID NO: 7);

L/3-1 = CTC GAG CAT CTG TGT TAG CTG GAG TGA AAA CTT GAA GAC TC (SEQ ID NO: 8);

25 L/3-2 = GTC TGA GTC TGA GTC CTC GAG CAT CTG TGT (SEQ ID NO: 9);

CKR-1:C1/5-1 = AAG CTT CAG AGA GAA GCC GGG ATG GAA ACT CC (SEQ ID NO: 10);

C1/5-2 = GTC TGA GTC TGA GTC AAG CTT CAG AGA GAA (SEQ ID NO: 11);

30 C1/3-1 = CTC GAG CTG AGT CAG AAC CCA GCA GAG AGT TC (SEQ ID NO: 12);

C1/3-2 = GTC TGA GTC TGA GTC CTC GAG CTG AGT CAG (SEQ ID NO: 13);

CKR-2a:C2/5-1 = AAG CTT CAG TAC ATC CAC AAC ATG CTG TCC AC 35 (SEQ ID NO: 14);

C2/5-2= GTC TGA GTC TGA GTC AAG CTT CAG TAC ATC (SEQ ID NO: 15);

C2/3-1 = CTC GAG CCT CGT TTT ATA AAC CAG CCG AGA C (SEQ ID NO: 16);

5 C2/3-2 = GTC TGA GTC TGA GTC CTC GAG CCT CGT TTT (SEQ ID NO: 17);

CKR-3: C3/5-1 = AAG CTT CAG GGA GAA GTG AAA TGA CAA CC (SEQ ID NO: 18);

10 C3/5-2= GTC TGA GTC TGA GTC AAG CTT CAG GGA GAA (SEQ ID NO: 19);

C3/3-1 = CTC GAG CAG ACC TAA AAC ACA ATA GAG AGT TCC (SEQ ID NO: 20);

C3/3-2 = GTC TGA GTC TGA GTC CTC GAG CAG ACC TAA (SEQ ID NO: 21);

15 CKR-4: C4/5-1 = AAG CTT CTG TAG AGT TAA AAA ATG AAC CCC ACG G (SEQ ID NO: 22);

C4/5-2 = GTC TGA GTC TGA GTC AAG CTT CTG TAG AGT (SEQ ID NO: 23);

20 C4/3-1 = CTC GAG CCA TTT CAT TTT TCT ACA GGA CAG CAT C (SEQ ID NO: 24);

C4/3-2 = GTC TGA GTC TGA GTC CTC GAG CCA TTT CAT (SEQ ID NO: 25);

CKR-5: C5/5-12 = GTC TGA GTC TGA GTC AAG CTT AAC AAG ATG GAT TAT CAA (SEQ ID NO: 26);

25 C5/3-12 = GTC TGA GTC TGA GTC CTC GAG TCC GTG TCA CAA GCC CAC (SEQ ID NO: 37).

The human CD4-expressing cell lines HeLa-CD4 (P42), 3T3-CD4 (sc6) and COS-CD4 (Z28T1) (23) were transfected with the different pcDNA3.1-CKR constructs by the calcium phosphate method, then infected 48h later with different reporter viruses (200ng of HIV-1 p24/10<sup>6</sup> cells) in the presence or absence of  $\beta$ -chemokines (400ng/ml each of RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$ ). Luciferase activity in cell lysates was measured 48h later (10,11).  $\beta$ -Chemokine blocking data is only shown for C-C CKR-5, as infection mediated by the other C-C CKR

genes was too weak for inhibition to be quantifiable. In PCR-based assays of HIV-1 entry, a low level of entry of NL4/3 and ADA into C-C CKR-1 expressing cells (data not shown) was consistently observed.

5

Neither LESTR nor C-C CKR-1, -2a, -3 or -4 could substitute for C-C CKR-5 in this assay. The expression of LESTR in COS-CD4 and 3T3-CD4 cells permitted HxE2 entry, and HxB2 readily entered untransfected (or control 10 plasmid-transfected) HeLa-CD4 cells (Table 3). Entry of BAL and ADA into all three C-C CKR-5-expressing cell lines was almost completely inhibited by the combination of MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES, whereas HxB2 entry into LESTR-expressing 15 cells was insensitive to  $\beta$  chemokines (Table 3). These results suggest that C-C CKR-5 functions as a  $\beta$ -chemokine-sensitive second receptor for primary, NSI HIV-1 strains.

20 The second receptor function of C-C CKR-5 was confirmed in assays of env-mediated membrane fusion. When C-C CKR-5 was transiently expressed in COS and HeLa cell lines that permanently expressed human CD4, both cell lines fused strongly with HeLa cells expressing the JR-FL envelope 25 glycoproteins, whereas no fusion occurred when control plasmids were used (data not shown). Expression of LESTR instead of C-C CKR-5 did not permit either COS-CD4 or HeLa-CD4 cells to fuse with HeLa-JR-FL cells, but did allow fusion between COS-CD4 cells and HeLa-BRU cells (data not shown).

30

The fusion capacity of  $\beta$ -chemokine receptors was also tested in the RET assay. The expression of C-C CKR-5, but not of C-C CKR-1, -2a, -3 or -4, permitted strong fusion between HeLa-CD4 cells and HeLa-JR-FL cells. The extent of fusion 35 between HeLa-JR-FL cells and C-C CKR-5-expressing HeLa-CD4

cells was greater than the constitutive level of fusion between HeLa-BRU cells and HeLa-CD4 cells (Fig.4). The fusion-conferring function of C-C CKR-5 for primary, NSI HIV-1 strains has therefore been confirmed in two 5 independent fusion assays.

#### Experimental Discussion

Together, the above results establish that MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES inhibit HIV-1 infection at the entry stage, by 10 interfering with the virus-cell fusion reaction subsequent to CD4 binding. It was also shown that C-C CKR-5 can serve as a second receptor for entry of primary NSI strains of 15 HIV-1 into CD4+ T-cells, and that the interaction of  $\beta$ -chemokines with C-C CKR-5 inhibits the HIV-1 fusion reaction.

## References of the Second Series of Experiments

1. Levy, J.A., Mackewicz, C.E. & Barker, E. *Immunol. Today* **17**, 217-224 (1996).
2. Cocchi, F. et al. *Science* **270**, 1811 -1815 (1995).
- 5 3. Paxton, W.A. et al. *Nat. Med.* **2**, 412-417 (1996).
4. Neote, K., DiGregorio, D., Mak, J.Y., Horuk, R., & Schall, T.J. *Cell* **72**, 415-425 (1993).
5. Gao, J.-L. et al. *J. Exp. Med.* **177**, 1421 -1427 (1993).
6. Bacon, K.B., Premack, B.A., Gardner, P. & Schall, T.J. *Science* **269**, 1727-1729 (1995).
- 10 7. Raport, C.J. et al. *J. Leukoc. Biol.* **59**, 18-23 (1996).
8. Wells, T.N.C. et al. *J. Leukoc. Biol.* **59**, 53-60 (1996).
9. Feng, Y., Broder, C.C., Kennedy, P.E. & Berger, E.A. *Science* **272**, 872-877 (1996).
- 15 10. Chen, B.K., Saksela, K., Andino, R. & Baltimore, D. *J. Virol.* **68**, 654-660 (1994).
11. Connor, R.I., Chen, B.K., Choe, S., & Landau, N.R. *Virology* **206**, 935-944 (1995).
12. Lusso, P. et al. *J. Virol.* **69**, 3712-3720 (1995).
- 20 13. Charo, I.F. et al. *Proc. Natl. Acad. Sci. USA* **91**, 2752-2756 (1994).
14. Ben-Baruch, A. et al. *J. Biol. Chem.* **270**, 22123-22128 (1995).
15. Combadiere, C. et al. *J. Biol. Chem.* **270**, 29671-29675 (1995).
- 25 16. Lip, J.P., D'Andrea, A.D., Lodish, H.F. & Baltimore, D. *Nature* **343**, 762-764 (1990).
17. Litwin, V. et al. *J. Virol.* (submitted for publication).
18. Moore, J.P., Jameson, B.A., Weiss, R.A. & Sattentau, Q.J. *in Viral Fusion Mechanisms* (ed Bentz, J.) 233-289 (CRC Press Inc, Boca Raton, USA, 1993).
- 30 19. Madden, P.J. et al. *Cell* **47**, 333-348 (1986).
20. Ashorn, P.A., Berger, E.A. & Moss, B. *J. Virol.* **64**, 35 2149-2156 (1990).

21. Clapham, P.R., Blanc, D. & Weiss, R.A. *Virology* **181**, 703-715 (1991).
22. Samson, M., Labbe, C., Mollereau, C., Vassart, G. & Parmentier, M. *Biochemistry* **11**, 3362-3367 (1996).
- 5 23. Dragic, T., Charneau, P., Clavel, F. & Alizon, M. *J.Virol.* **66**, 4794-4802 (1992).
24. Loetscher, M. et al. *J.Biol.Chem.* **269**, 232-237 (1994).
25. Moore, J.P. & Ho, D.D. *AIDS* **9** (suppl A), S117-S136 (1995).
- 10 26. Trkola, A. & Moore, J.P. (unpublished data).
27. Chaudhuri, A., et al. 1994. *J.Biol.Chem.* **269**, 7835-7838 (1994).
28. Neote, K., Mak, J.Y., Kolakowski Jr., L.F. & Schall, T.J. *Blood* **84**, 44-52 (1994).
- 15 29. Dragic, T., Picard, L. & Alizon, M. *J.Virol.* **69**, 1013-1018 (1995).
30. Puri, A., Morris, S.J., Jones, P., Ryan, M. & Blumenthal, R. *Virology* **219**, 262-267 (1996). 31

**THIRD SERIES OF EXPERIMENTS**

The chemokine SDF-1 (stromal cell-derived factor 1) is the natural ligand for Fusin/CXCR4 and blocks infection by laboratory-adapted strains of HIV-1 (Ref. 1 and 2). SDF-1 exists as at least two forms, SDF-1 $\alpha$  and SDF-1 $\beta$  based on variable splicing of the SDF-1 gene (Ref. 1 and 3). In the RET assay, this chemokine specifically inhibits membrane fusion mediated by gp120/gp41 from the laboratory-adapted strain HIV<sub>LA1</sub> but not by gp120/gp41 from the macrophage-tropic isolate HIV-1<sub>JR.FL</sub> as shown in Figure 5.

**References of the Third Series of Experiments**

1. Bleul, C.C., et al. (1996) *Nature* 382:829-833
2. Oberlin, E., et al. (1996) *Nature* 382:833-835
- 15 3. Shirozu, M., et al. (1995) *Genomics* 28:495-500

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

(i) APPLICANTS: Allaway, Graham P  
Litwin, Virginia M  
Maddon, Paul J  
Olson, William C

(ii) TITLE OF INVENTION: A Method For Preventing HIV-1 Infection of CD4+ Cells

(iii) NUMBER OF SEQUENCES: 27

## (iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Cooper & Dunham LLP  
(B) STREET: 1185 Avenue of the Americas  
(C) CITY: New York  
(D) STATE: New York  
(E) COUNTRY: USA  
(F) ZIP: 10036

## (v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Version #1.30

## (vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER:  
(B) FILING DATE:  
(C) CLASSIFICATION:

## (viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: White, John P  
(B) REGISTRATION NUMBER: 28678  
(C) REFERENCE/DOCKET NUMBER: 50875-C-PCT/JPW/AKC

## (ix) TELECOMMUNICATION INFORMATION:

(A) TELEPHONE: 212-278-0400  
(B) TELEFAX: 212-391-0525

## (2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 38 nucleotides  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

CAAGGCTACT TCCCTGATTG GCAGAACTAC ACACCAGG

38

## (2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 25 nucleotides  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single

- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: oligonucleotide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

AGCAAGCCGA GTCCTGCGTC GAGAG

25

(2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 23 nucleotides
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: oligonucleotide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

GGGACTTTCC GCTGGGGACT TTC

23

(2) INFORMATION FOR SEQ ID NO:4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 33 nucleotides
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: oligonucleotide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

CCTGTTCGGG CGCCACTGCT AGAGATTTTC CAC

33

(2) INFORMATION FOR SEQ ID NO:5:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 60 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: n/a
  - (D) TOPOLOGY: n/a
- (ii) MOLECULE TYPE: protein
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

Pro Cys Cys Phe Ala Tyr Ile Ala Arg Pro Leu Pro Arg Ala His Ile Lys  
1 5 10 15

Glu Tyr Phe Tyr Thr Ser Gly Lys Cys Ser Asn Pro Ala Val Val Phe Val  
20 25 30

Thr Arg Lys Asn Arg Gln Val Cys Ala Asn Pro Glu Lys Lys Trp Val Arg  
35 40 45 50

Glu Tyr Ile Asn Ser Leu Glu Met Ser  
55 60

## (2) INFORMATION FOR SEQ ID NO:6:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 36 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

AAGCTTGGAG AACCAGCGGT TACCATGGAG GGGATC

36

## (2) INFORMATION FOR SEQ ID NO:7:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

GTCTGAGTCT GAGTCAGCT TGGAGAACCA

30

## (2) INFORMATION FOR SEQ ID NO:8:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 41 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

CTCGAGCATC TGTGTTAGCT GGAGTGAAAA CTTGAAGACT C

41

## (2) INFORMATION FOR SEQ ID NO:9:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

GTCTGAGTCT GAGTCCTCGA GCATCTGTGT

30

## (2) INFORMATION FOR SEQ ID NO:10:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 32 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

AAGCTTCAGA GAGAAGCCGG GATGGAACT CC

32

(2) INFORMATION FOR SEQ ID NO:11:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

GTCTGAGTCT GAGTCAAGCT TCAGAGAGAA

30

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 32 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

CTCGAGCTGA GTCAGAACCC AGCAGAGAGT TC

32

(2) INFORMATION FOR SEQ ID NO:13:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

GTCTGAGTCT GAGTCCTCGA GCTGAGTCAG

30

(2) INFORMATION FOR SEQ ID NO:14:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 32 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

AAGCTTCAGT ACATCCACAA CATGCTGTCC AC

32

## (2) INFORMATION FOR SEQ ID NO:15:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 30 nucleotides
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: oligonucleotide

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

GTCTGAGTCT GAGTCAGCT TCAGTACATC

30

## (2) INFORMATION FOR SEQ ID NO:16:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 31 nucleotides
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: oligonucleotide

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:

CTCGAGCCTC GTTTTATAAA CCAGCCGAGA C

31

## (2) INFORMATION FOR SEQ ID NO:17:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 30 nucleotides
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: oligonucleotide

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:

GTCTGAGTCT GAGTCCTCGA GCCTCGTTT

30

## (2) INFORMATION FOR SEQ ID NO:18:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 29 nucleotides
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: oligonucleotide

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

AAGCTTCAGG GAGAAGTGAA ATGACAAACC

29

## (2) INFORMATION FOR SEQ ID NO:19:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 30 nucleotides

- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:

GTCTGAGTCT GAGTCAGCT TCAGGGAGAA

30

(2) INFORMATION FOR SEQ ID NO:20:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 33 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

CTCGAGCAGA CCTAAAACAC AATAGAGAGT TCC

33

(2) INFORMATION FOR SEQ ID NO:21:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 30 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:

GTCTGAGTCT GAGTCCTCGA GCAGACCTAA

30

(2) INFORMATION FOR SEQ ID NO:22:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 34 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:

AAGCTTCTGT AGAGTTAAAA AATGAACCCC ACGG

34

(2) INFORMATION FOR SEQ ID NO:23:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 30 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:

GTCTGAGTCT GAGTCAAGCT TCTGTAGAGT

30

(2) INFORMATION FOR SEQ ID NO:24:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 34 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:

CTCGAGCCAT TTCATTTTC TACAGGACAG CATC

34

(2) INFORMATION FOR SEQ ID NO:25:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:

GTCTGAGTCT GAGTCCTCGA GCCATTTCAT

30

(2) INFORMATION FOR SEQ ID NO:26:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 39 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:

GTCTGAGTCT GAGTCAAGCT TAACAAGATG GATTATCAA

39

(2) INFORMATION FOR SEQ ID NO:27:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 39 nucleotides
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: oligonucleotides

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:

GTCTGAGTCT GAGTCCTCGA GTCCGTGTCA CAAGCCAC

39

**What is claimed is:**

1. A method for inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited.  
5
2. A method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to a chemokine receptor in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited, thereby inhibiting HIV-1 infection.  
10
3. The method of claim 1 or 2, wherein the non-chemokine agent is an oligopeptide.  
15
4. The method of claim 1 or 2, wherein the non-chemokine agent is a polypeptide.  
20
5. The method of claim 1 or 2, wherein the non-chemokine agent is an antibody or a portion of an antibody.  
25
6. The method of claim 1 or 2, wherein the non-chemokine agent is a nonpeptidyl agent.  
30
7. A non-chemokine agent capable of binding to a chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells.  
35
8. The non-chemokine agent of claim 7, wherein the non-chemokine agent is a oligopeptide.
9. The non-chemokine agent of claim 7, wherein the non-chemokine agent is a nonpeptidyl agent.

10. The non-chemokine agent of claim 7, wherein the non-chemokine agent is a polypeptide.

11. The non-chemokine agent of claim 10, wherein the polypeptide is an antibody or a portion of an antibody.

12. The non-chemokine agent of claim 10, wherein the polypeptide comprises amino acid sequence as set forth in SEQ ID NO:5.

10

13. The non-chemokine agent of claim 10, wherein the polypeptide comprises the MIP-1 $\beta$  sequence with the deletion of the first seven N-terminal amino acids of said sequence.

15

14. The non-chemokine agent of claim 10, wherein the polypeptide comprises the MIP-1 $\beta$  sequence with the deletion of the first eight N-terminal amino acids of said sequence.

20

15. The non-chemokine agent of claim 10, wherein the polypeptide comprises the MIP-1 $\beta$  sequence with the deletion of the first nine N-terminal amino acids of said sequence.

25

16. The non-chemokine agent of claim 10, wherein the polypeptide comprises the MIP-1 $\beta$  sequence with the deletion of the first ten N-terminal amino acids of said sequence.

30

17. The non-chemokine agent of claim 10, wherein the polypeptide comprises the MIP-1 $\beta$  sequence with the N-terminal sequence modified by addition of an amino acid or oligopeptide.

35

18. The non-chemokine agent of claim 10, wherein the polypeptide comprises the MIP-1 $\beta$  sequence with the N-terminal sequence modified by removing the N-terminal alanine and replacing it by serine or threonine and an additional amino acid or oligopeptide or nonpeptidyl moiety.  
5
19. The non-chemokine agent of claim 17 or 18, wherein the additional amino acid is methionine.  
10
20. An agent capable of binding to CXCR4 and inhibiting HIV-1 infection.
21. The agent of claim 20, wherein the agent is an oligopeptide.  
15
22. The agent of claim 20, wherein the agent is a polypeptide.
- 20 23. The non-chemokine agent of claim 22, wherein the polypeptide comprises the SDF-1 sequence with the deletion of the first six N-terminal amino acids of said sequence.
- 25 24. The non-chemokine agent of claim 22, wherein the polypeptide comprises the SDF-1 sequence with the deletion of the first seven N-terminal amino acids of said sequence.
- 30 25. The non-chemokine agent of claim 22, wherein the polypeptide comprises the SDF-1 sequence with the deletion of the first eight N-terminal amino acids of said sequence.
- 35 26. The non-chemokine agent of claim 22, wherein the

polypeptide comprises the SDF-1 sequence with the deletion of the first nine N-terminal amino acids of said sequence.

5 27. The non-chemokine agent of claim 22, wherein the N-terminal glycine of SDF-1 is replaced by serine and derivatized with biotin.

10 28. The non-chemokine agent of claim 22, wherein the N-terminal glycine of SDF-1 is replaced by serine and derivatized with methionine.

15 29. The non-chemokine agent of claim 22, wherein the N-terminus of SDF-1 is modified by the addition of a methionine before the terminal glycine.

30 30. The agent of claim 22, wherein the agent is an antibody or a portion of an antibody.

20 31. The agent of claim 20, wherein the agent is a non-peptidyl agent.

25 32. A pharmaceutical composition comprising an amount of the non-chemokine agent of claim 7 effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

30 33. A pharmaceutical composition comprising an amount of the non-chemokine agent of claim 20 effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

35 34. A composition of matter capable of binding to a chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to

5 a ligand capable of binding to a cell surface receptor of the CD4<sup>+</sup> cells other than the chemokine receptor such that the binding of the non-chemokine agent to the chemokine receptor does not inhibit the binding of the ligand to the other receptor.

35. The composition of matter of claim 34, wherein the cell surface receptor is CD4.

10 36. The composition of matter of claim 34, wherein the ligand comprises an antibody or a portion of an antibody.

15 37. A pharmaceutical composition comprising an amount of the composition of matter of claim 34 effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

20 38. A composition of matter capable of binding to the chemokine receptor and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent.

25 39. The composition of matter of claim 38, wherein the compound is polyethylene glycol.

30 40. A pharmaceutical composition comprising an amount of the composition of claim 38 effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

35 41. A method for reducing the likelihood of HIV-1 infection in a subject comprising administering the pharmaceutical composition of claim 32, 33, 37 or 40 to

the subject.

42. A method for treating HIV-1 infection in a subject comprising administering the pharmaceutical composition of claim 32, 33, 39 or 40 to the subject.

5 43. A method for determining whether a non-chemokine agent is capable of inhibiting the fusion of HIV-1 to a CD4<sup>+</sup> cell which comprises:

10 (a) contacting (i) a CD4<sup>+</sup> cell, which is labeled with a first dye, with (ii) a cell expressing the HIV-1 envelope glycoprotein on its surface, which is labeled with a second dye, in the presence of an excess of the agent under conditions permitting the fusion of the CD4<sup>+</sup> cell to the cell expressing the HIV-1 envelope glycoprotein on its surface in the absence of the agent, the first and second dyes being selected so as to allow resonance energy transfer between the dyes;

15 20 (b) exposing the product of step (a) to conditions which would result in resonance energy transfer if fusion has occurred; and

25 (c) determining whether there is a reduction of resonance energy transfer, when compared with the resonance energy transfer in the absence of the agent, a decrease in transfer indicating that the agent is capable of inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells.

30 44. The method of claim 43, wherein the agent is an oligopeptide.

35 45. The method of claim 43, wherein the agent is a polypeptide.

46. The method of claim 43, wherein the agent is an antibody or a portion of an antibody.

47. The method of claim 43, wherein the agent is a  
5 nonpeptidyl agent.

48. The method of claim 43, wherein the CD4<sup>+</sup> cell is a PM1 cell.

10 49. The method of claim 43, wherein the cell expressing the HIV-1 envelope glycoprotein is a HeLa cell expressing HIV-1<sub>SR-FL</sub> gp120/gp41.

15 50. The method of claim 43, wherein the cell expressing the HIV-1 envelope glycoprotein is a HeLa cell expressing HIV-1<sub>LA</sub> gp120/gp41.

1/6

FIG. 1A

RANTES

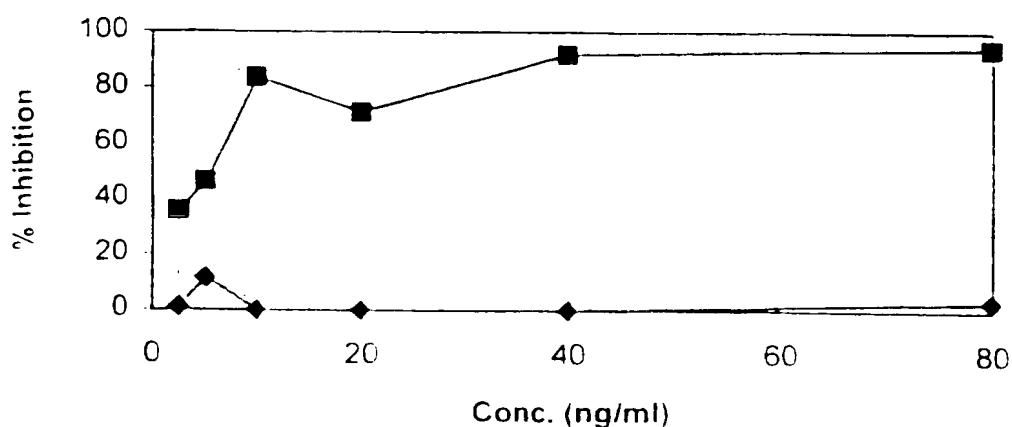


FIG. 1B

MIP-1alpha

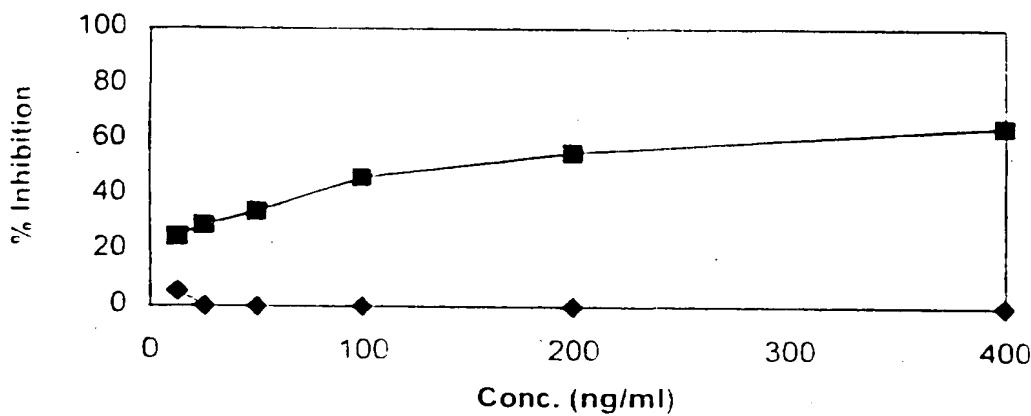
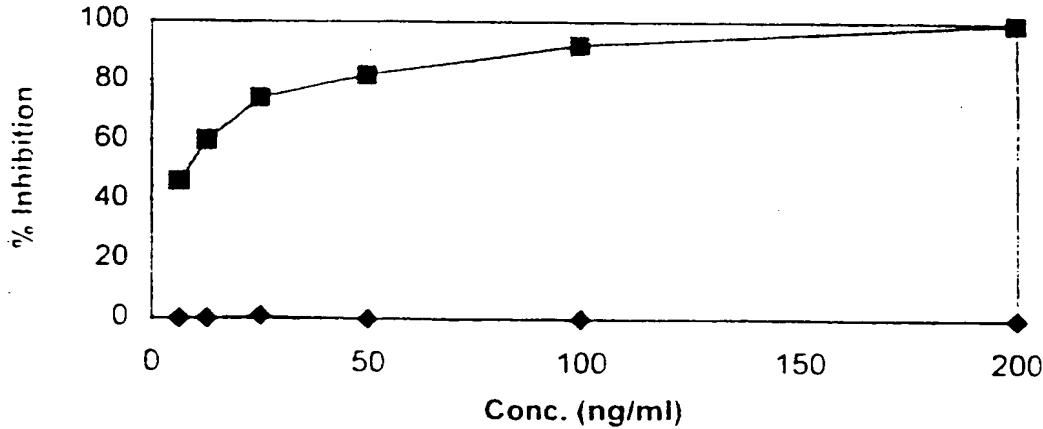


FIG. 1C

MIP-1beta



2/6

FIG. 2A

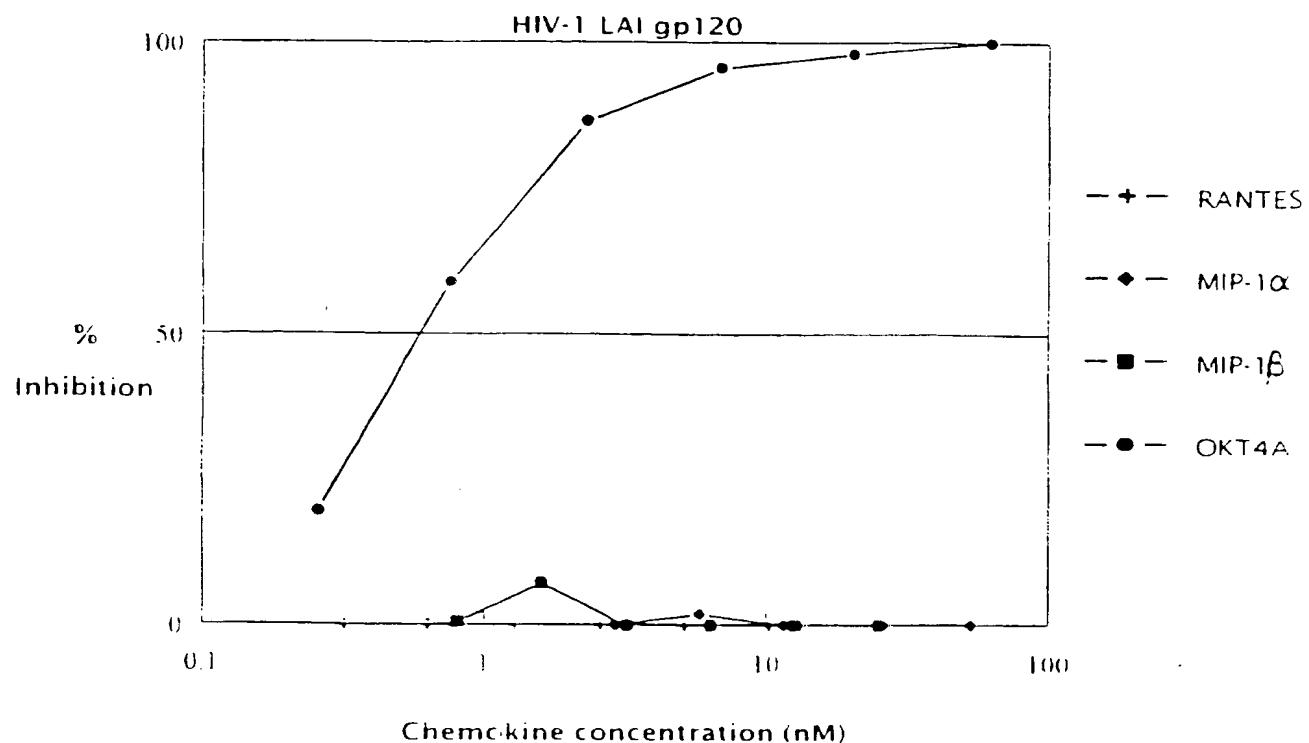
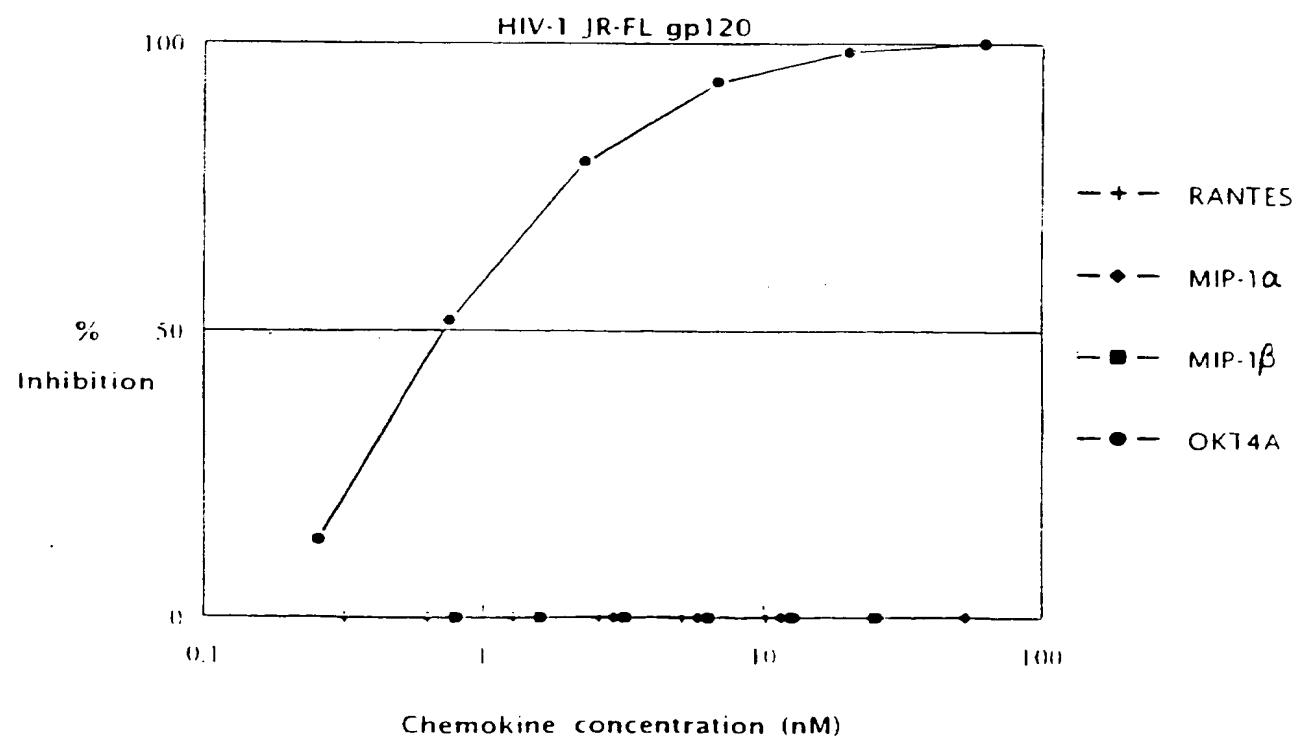
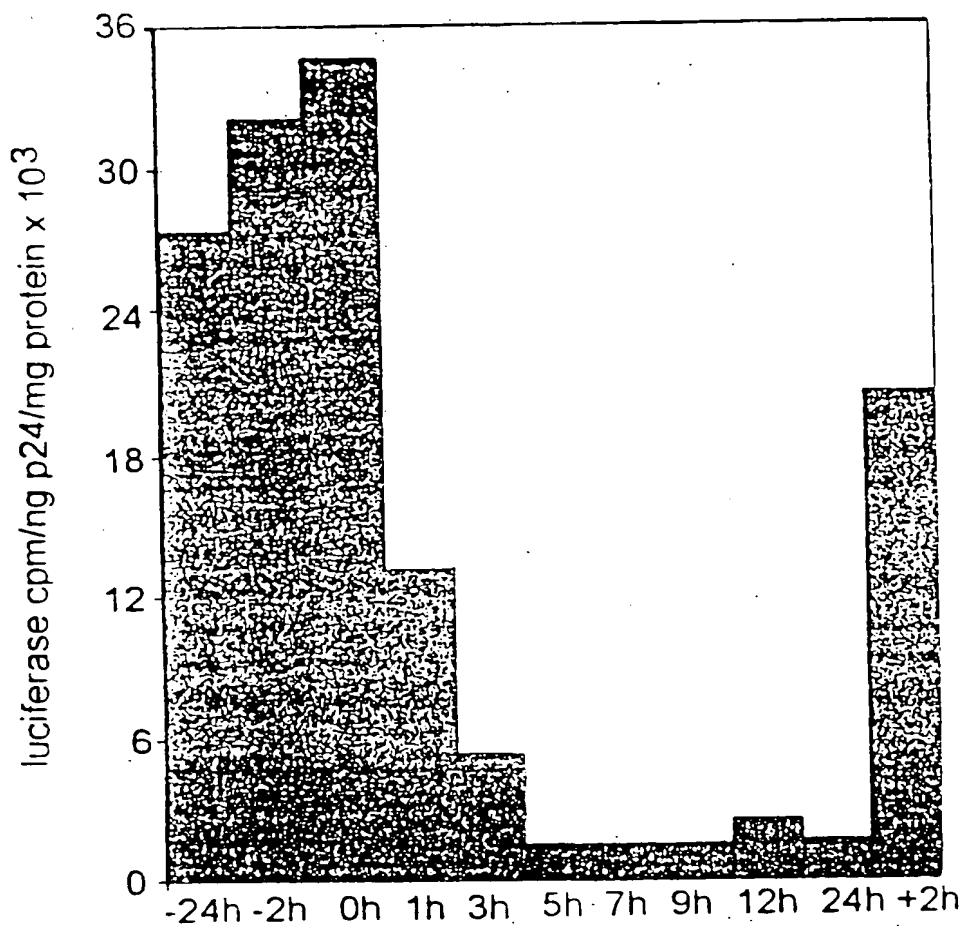


FIG. 2B



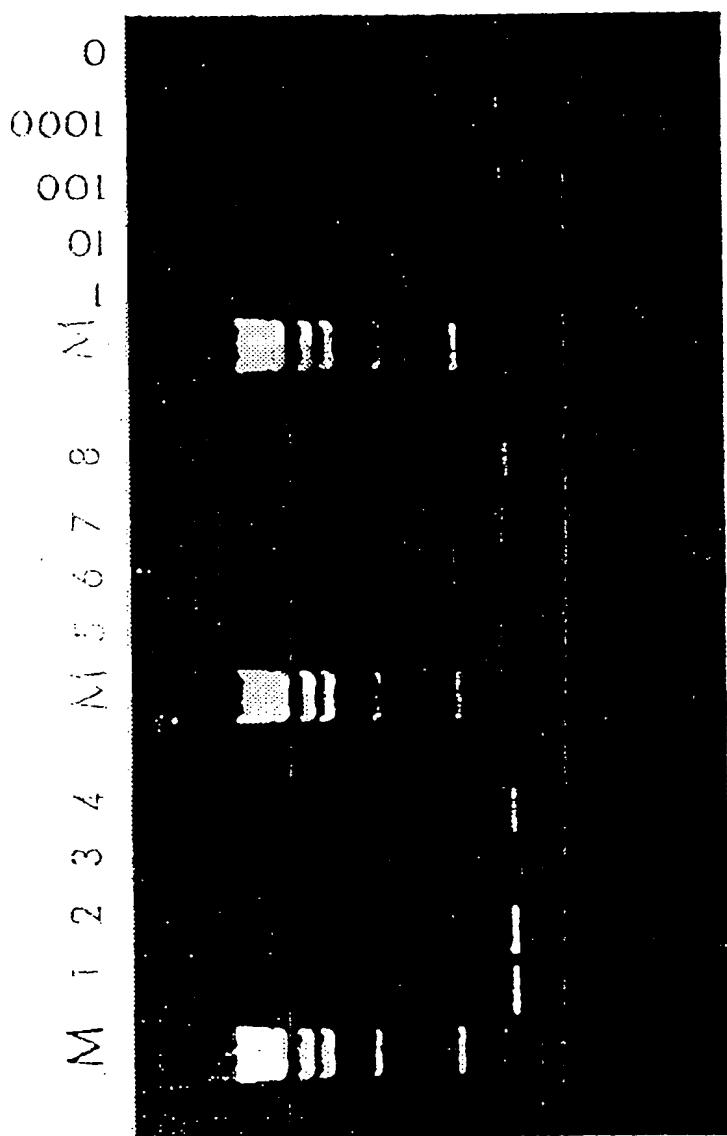
3/6

FIG. 3A



4/6

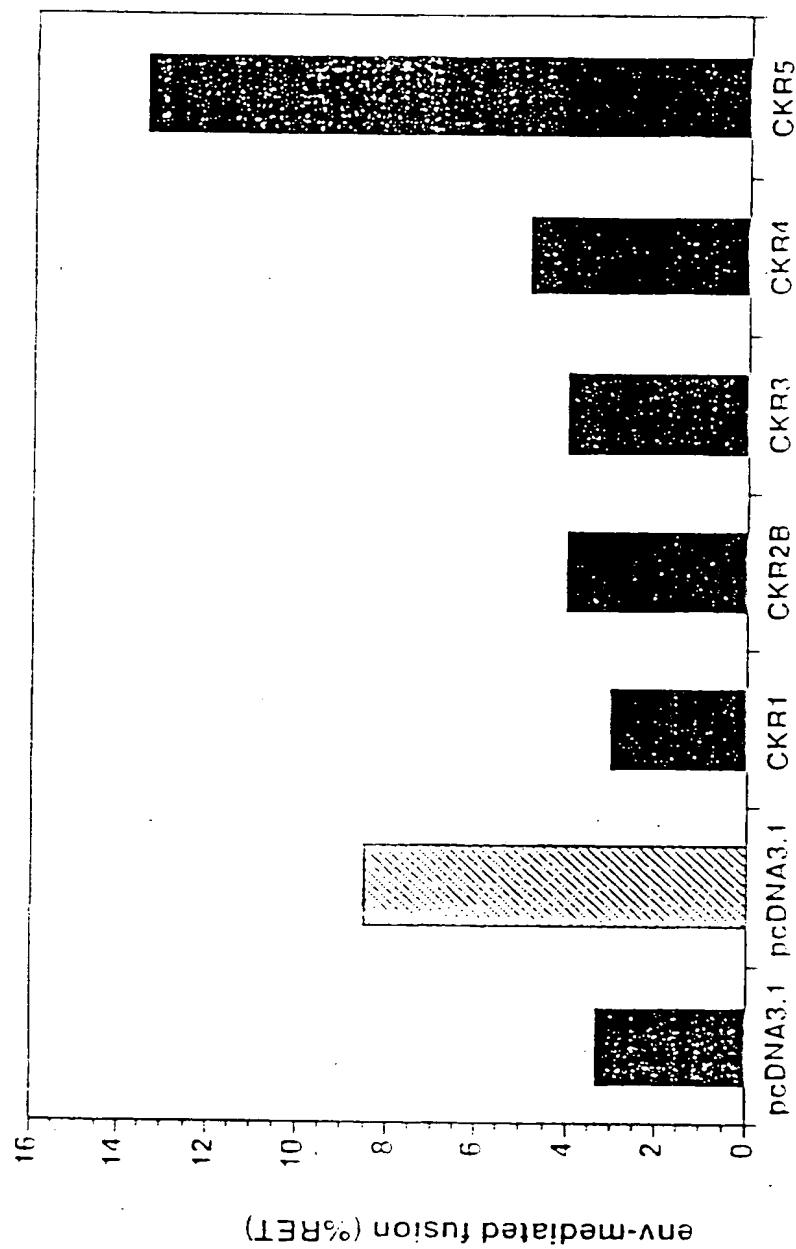
FIG. 3B



SUBSTITUTE SHEET (RULE 26)

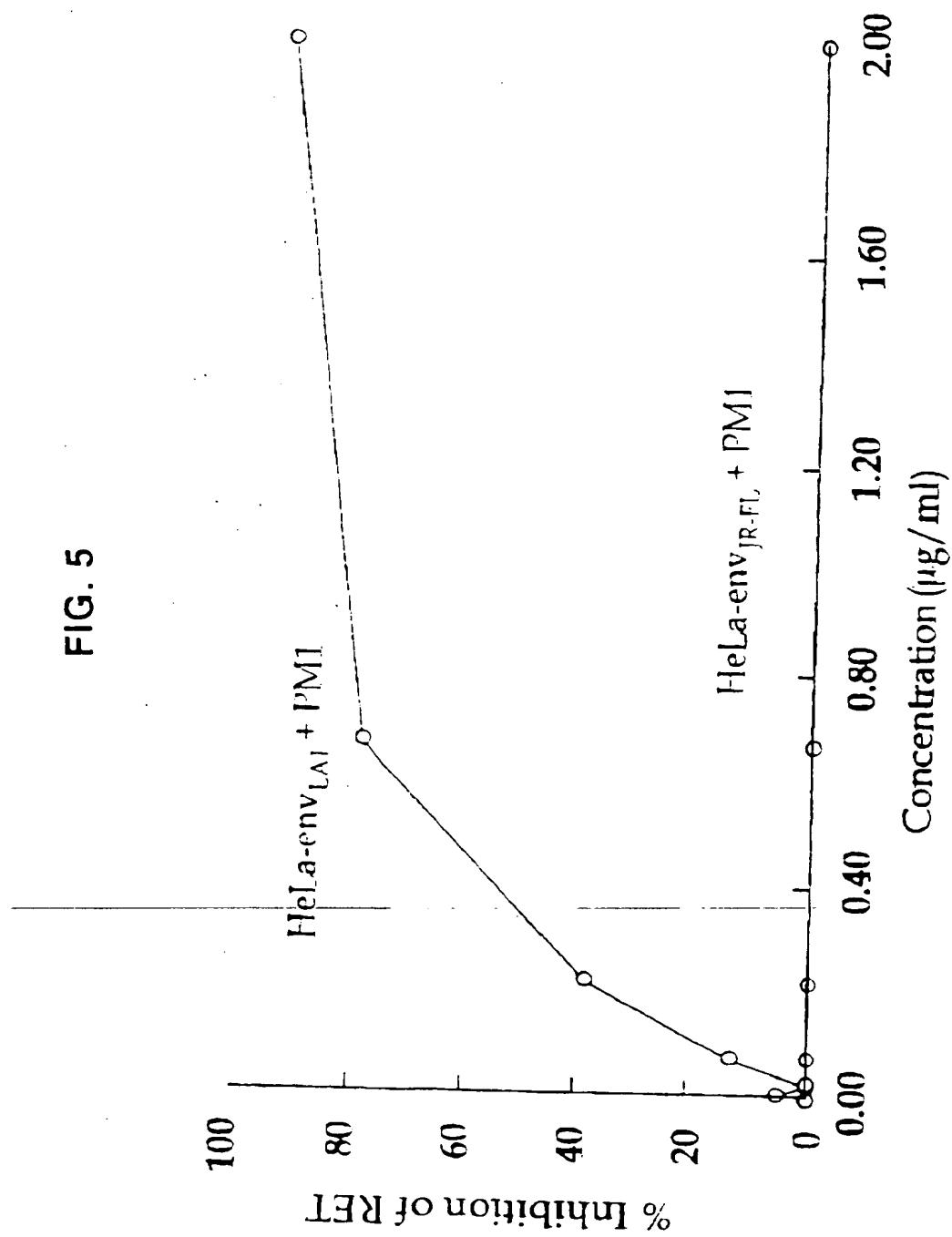
5/6

FIG. 4



6/6

FIG. 5



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/05597

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C12N 5/10; C07K 16/28

US CL : 435/240.2; 530/388.22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/240.2; 530/388.22

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, DIALOG

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	BRENNER et al. Relation Between HIV-1 Syncytium Inhibition Antibodies and Clinical Outcome in Children. Lancet. 27 April 1991, Vol. 337, pages 1001-1005, especially page 1004, "Discussion" section.	1,2,5-7,9 and 11
Y	HATTORI et al. Involvement of Tryptase-related Cellular Protease(s) in Human Immunodeficiency Virus Type 1 Infection. FEB Letters. May 1989, Vol. 248, No 1,2, pages 48-52, entire document.	1,2,5-7,9, and 11
Y	US 5,440,021 A (CHUNTHARAPAI et al.) 08 August 1995, column 1, lines 11-14, column 2, lines 5-12.	1,2,5-7,9, and 11

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	*T*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

05 JULY 1997

Date of mailing of the international search report

25 JUL 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

HANKYEL T. PARK, PH. D.

Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/05597

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,504,003 A (LI et al) 02 April 1996, Abstract, column 1, lines 5, 6 and 24-29, column 4, lines 12-17, column 10, lines 16-18, col. 12, lines 57-59.	1-4, 7, 8, 10, and 32

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No

PCT/US97/05597

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please see extra sheet.

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-19 and 32

### Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US97/05597

**Box II Observations where unity of invention is lacking**

1. This International Search Authority has found 6 inventions claimed in the International Application covered by the claims indicated below:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1.

**Group I**, claim(s) 1-19 and 32, drawn to a method for inhibiting fusion of HIV-1 to CD4 cells; a non-chemokine agent capable of binding to a chemokine receptor and a pharmaceutical composition.

**Group II**, claim(s) 20-31 and 33, drawn to an agent capable of binding to CXCR4 (fusion protein) and a pharmaceutical composition.

**Group III**, claim(s) 34-37, drawn to a composition of matter comprising a non-chemokine agent linked to a ligand capable of binding non-chemokine receptor and a pharmaceutical composition.

**Group IV**, claims 38-40, drawn to a composition of matter comprising a non-chemokine agent linked to a compound capable of increasing the in vivo half-life of the non-chemokine agent and a pharmaceutical composition.

**Group V**, claims 41 and 42, drawn to a method of reducing and treating infection.

**Group VI**, claims 43-50, drawn to a method of determining non-chemokine agents.

and it considers that the International Application does not comply with the requirements of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated below:

The inventions listed as Groups I, V and VI do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The different inventions have different method steps, such as inhibiting fusion, reducing or treating infection and determining a non-chemokine agent.

The inventions listed as Groups I-IV do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: a non-chemokine agent of Group I binds to a chemokine receptor; an agent of Group II binds CXCR4, a fusion protein; a composition of matter of Group III binds a non-chemokine receptor; and a composition of matter of Group IV increases the in vivo half-life of the non-chemokine agent.

THIS PAGE BLANK (USPTO)